

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. **020431.0662**

In re Application of:

BRIAN M. KENNEDY

SERIAL NO. **09/510,607**

FILED: **22 FEBRUARY 2000**

FOR: **SYSTEM AND METHOD FOR
MANAGING DATA ASSOCIATED
WITH AVAILABLE TO-PROMIES(ATP)
PRODUCTS**

§
§
§
§
§
§
§
§
§
§
§
§

EXAMINER:

BLECK, CAROLYN M

ART UNIT: **3626**

CONFIRMATION NO.: **2320**

REQUEST FOR REFUND

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/Madam:

The Applicant hereby requests a refund in the amount of \$200.00, which was charged on 21 June 2007 to Deposit Account No. 500777 as shown on the attached Deposit Account Statement (Exhibit A) obtained from the USPTO website on 03 August 2007. The fee associated with the \$200.00 in question is not necessary, as discussed below.

REMARKS:

According to information obtained from the Deposit Account Branch of the U.S. Patent and Trademark Office, the \$200.00 in question was charged as a fee for an excess of independent claims in a Response to Office Action that was filed on 18 June 2007.

The Response to Office Action, filed on 18 June 2007, contained 4 independent claims, as originally filed, and as indicated on the attached Patent Application Fee Determination Record of 22 February 2000 (Exhibit B). However, an attached Patent Application Fee Determination Record of 21 November 2005 (Exhibit C) incorrectly lists the independent claims remaining after amendment, as two; and incorrectly lists the highest number of previously paid for independent claims, as three. Therefore, the attached Patent Application Fee Determination Record of 18 June 2007 (Exhibit D), relying on the incorrect Patent Application Fee Determination Record of 21 November 2005 (Exhibit C), incorrectly lists the highest number of previously paid for independent claims as three, instead of four, as indicated on the correct Patent Application Fee Determination Record of 22 February 2000 (Exhibit B).

Accordingly, no fee for an extra independent claim should be necessary.

CONCLUSION:

Therefore, the Applicant hereby requests a refund in the amount of \$200.00 be credited to Deposit Account No. **500777**. No fees are deemed to be necessary for the filing of this Request; however, the undersigned hereby authorizes the Commissioner to charge any fees that may be required, or credit any overpayments, to **Deposit Account No. 500777**.

Please link this application to Customer No. 53184 so that its status may be checked via the PAIR System.

Respectfully submitted,

3 August 2007
Date

/Steven J. Laureanti/signed
Steven J. Laureanti, Registration No. 50,274

BOOTH UDALL, PLC
1155 W. Rio Salado Pkwy., Ste. 101
Tempe AZ, 85281
214.636.0799 (mobile)
480.830.2700 (office)
480.830.2717 (fax)
steven@boothudall.com

CUSTOMER NO. 53184

EXHIBIT A



**United States
Patent and
Trademark Office**



Deposit Account Statement

Requested Statement Month:	June 2007
Deposit Account Number:	500777
Name:	I2 TECHNOLOGIES US, INC
Attention:	ROBERT C. DONOHOO
Street Address 1:	11701 LUNA ROAD
Street Address 2:	
City:	DALLAS
State:	TX
Zip:	75234
Country:	UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
06/01	7695	6658413	020431.0987	1551	\$900.00	\$34,960.00
06/04	11029	09892300	020431.0840	1801	\$790.00	\$34,170.00
06/08	4724	09688032	020431.0978	1801	\$790.00	\$33,380.00
06/11	509	11760229	1180.1381C	1011	\$300.00	\$33,080.00
06/11	510	11760229	1180.1381C	1111	\$500.00	\$32,580.00
06/11	511	11760229	1180.1381C	1311	\$200.00	\$32,380.00
06/11	1	10940851	020431.1381	1501	\$1,400.00	\$30,980.00
06/11	2	10940851	020431.1381	1504	\$300.00	\$30,680.00
06/11	3	10940851	020431.1381	8001	\$30.00	\$30,650.00
06/14	8755	09972383	020431.0779	1501	\$1,400.00	\$29,250.00
06/14	8756	09972383	020431.0779	1504	\$300.00	\$28,950.00
06/14	8757	09972383	020431.0779	8001	\$30.00	\$28,920.00
06/21	2	09510607	020431.0662	1201	\$200.00	\$28,720.00
06/22	1963	09895487	020431.0875	1501	\$1,400.00	\$27,320.00
06/22	1964	09895487	020431.0875	8001	\$30.00	\$27,290.00
06/25	9285	09895654	020431.0841	1801	\$790.00	\$26,500.00
06/25	9437	10032971	020431.0787	1501	\$1,400.00	\$25,100.00
06/25	9438	10032971	020431.0787	8001	\$30.00	\$25,070.00
06/25	10601	11767699	1180.0787D1	1011	\$300.00	\$24,770.00
06/25	10602	11767699	1180.0787D1	1111	\$500.00	\$24,270.00
06/25	10603	11767699	1180.0787D1	1311	\$200.00	\$24,070.00
06/25	10604	11767699	1180.0787D1	1202	\$100.00	\$23,970.00
06/25	10605	11767699	1180.0787D1	1201	\$200.00	\$23,770.00
06/27	2881	10139102	020431.1122	1501	\$1,400.00	\$22,370.00
06/27	2883	10139102	020431.1122	8001	\$30.00	\$22,340.00

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$35,860.00	\$13,520.00	\$.00	\$22,340.00

[Need Help?](#) | [USPTO Home Page](#) | [Finance Online Shopping Page](#)

PATENT APPLICATION FEE DETERMINATION RECORD

Effective December 29, 1999

Application or Docket Number

CLAIMS AS FILED - PART I

(Column 1)

(Column 2)

SMALL ENTITY
TYPE ☐ OROTHER THAN
SMALL ENTITY

FOR	NUMBER FILED	NUMBER EXTRA
BASIC FEE		
TOTAL CLAIMS	32 minus 20 = *	12
INDEPENDENT CLAIMS	4 minus 3 = *	1
MULTIPLE DEPENDENT CLAIM PRESENT		

* If the difference in column 1 is less than zero, enter "0" in column 2

RATE	FEE
	345.00
X\$ 9=	
X39=	
+130=	
TOTAL	

RATE	FEE
	690.00
X\$18=	216
X78=	78
+260=	
TOTAL	884

CLAIMS AS AMENDED - PART II

(Column 1)

(Column 2)

(Column 3)

SMALL ENTITY OR

OTHER THAN
SMALL ENTITY

AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	*	Minus **	=
Independent	*	Minus ***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			

RATE	ADDITIONAL FEE
X\$ 9=	
X39=	
+130=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X78=	
+260=	
TOTAL ADDIT. FEE	

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	*	Minus **	=
Independent	*	Minus ***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			

RATE	ADDITIONAL FEE
X\$ 9=	
X39=	
+130=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X78=	
+260=	
TOTAL ADDIT. FEE	

AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	*	Minus **	=
Independent	*	Minus ***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			

RATE	ADDITIONAL FEE
X\$ 9=	
X39=	
+130=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X78=	
+260=	
TOTAL ADDIT. FEE	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

09/5/0607

PTO/506 (12-04)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD						Application or Docket Number	
Substitute for Form PTO-875							
APPLICATION AS FILED - PART I				SMALL ENTITY		OR OTHER THAN SMALL ENTITY	
(Column 1)		(Column 2)					
FOR	NUMBER FILED	NUMBER EXTRA		RATE (\$)	FEE (\$)	RATE (\$)	FEE (\$)
BASIC FEE (37 CFR 1.16(a), (b), or (c))							
SEARCH FEE (37 CFR 1.16(a), (i), or (m))							
EXAMINATION FEE (37 CFR 1.16(a), (p), or (q))							
TOTAL CLAIMS (37 CFR 1.16(i))	minus 20 =	*		X =		X =	
INDEPENDENT CLAIMS (37 CFR 1.16(h))	minus 3 =	*		X =		X =	
APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).						
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))							
* If the difference in column 1 is less than zero, enter "0" in column 2.				TOTAL		TOTAL	
APPLICATION AS AMENDED - PART II				SMALL ENTITY		OR OTHER THAN SMALL ENTITY	
(Column 1)		(Column 2)		(Column 3)			
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)	RATE (\$)	ADDITIONAL FEE (\$)
Total (37 CFR 1.16(i))	32	42	=	25		50	
Independent (37 CFR 1.16(j))	2	3	=	100		200	
Application Size Fee (37 CFR 1.16(s))							
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							
				TOTAL ADD'L FEE		TOTAL ADD'L FEE	
(Column 1)		(Column 2)		(Column 3)			
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)	RATE (\$)	ADDITIONAL FEE (\$)
Total (37 CFR 1.16(i))	*	Minus **	=	X =		X =	
Independent (37 CFR 1.16(j))	*	Minus ***	=	X =		X =	
Application Size Fee (37 CFR 1.16(s))							
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							
				TOTAL ADD'L FEE		TOTAL ADD'L FEE	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EXHIBIT D

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD
Substitute for Form PTO-875

Application or Docket Number
09/510.607

Filing Date
02/22/2000

☐ To be Mailed

APPLICATION AS FILED – PART I

(Column 1)

(Column 2)

SMALL ENTITY ☐

OR OTHER THAN SMALL ENTITY

FOR		NUMBER FILED	NUMBER EXTRA	RATE (\$)	FEE (\$)	OR	RATE (\$)	FEE (\$)
<input type="checkbox"/> BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A	N/A			N/A	
<input type="checkbox"/> SEARCH FEE (37 CFR 1.16(k), (l), or (m))	N/A	N/A	N/A	N/A			N/A	
<input type="checkbox"/> EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A	N/A			N/A	
TOTAL CLAIMS (37 CFR 1.16(f))	minus 20 =	*	X \$ =		X \$ =			
INDEPENDENT CLAIMS (37 CFR 1.16(h))	minus 3 =	*	X \$ =		X \$ =			
<input type="checkbox"/> APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))								
* If the difference in column 1 is less than zero, enter "0" in column 2.				TOTAL			TOTAL	

* If the difference in column 1 is less than zero, enter "0" in column 2.

APPLICATION AS AMENDED – PART II

(Column 1)

(Column 2)

(Column 3)

SMALL ENTITY

OR OTHER THAN SMALL ENTITY

AMENDMENT	06/18/2007	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)	RATE (\$)	ADDITIONAL FEE (\$)	
	Total (37 CFR 1.59(i))	* 32	Minus	** 42	= 0	X \$ =		OR X \$50=	0	
	Independent (37 CFR 1.146(i))	* 4	Minus	***3	= 1	X \$ =		OR X \$200=	200	
	<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))									
	<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))									
								OR		
TOTAL ADD'L FEE								OR	TOTAL ADD'L FEE	200

(Column 1)

(Column 2)

(Column 3)

1. *Journal of Management Studies*, 1990, 27, 1.

AMENDMENT	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)	RATE (\$)	ADDITIONAL FEE (\$)
	Total (37 CFR 1.195(i))	+	Minus	**	=	X \$	=
	Independent (37 CFR 1.196(i))	+	Minus	***	=	X \$	=
	<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))						
	<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						
				TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20"

*** If the Hickey Number (Previous Reid Fed) IN THIS SPACE is less than 2, enter 32

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

Legal Instrument Examiner:
Wanda Meredith

The collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.